

Document code and name:

**Overview of changes in version 5.0 compared to version 4.1**

Version 4.1	Version 5	Amendment	Type of change*
<b>Chapter 1</b>			
1.1 What is Riskplaza en what is Riskplaza-audit+?	1. The Riskplaza-audit+ certification scheme	Textual changes.	1
1.2 Acceptance and accreditation NEN-EN-ISO/IEC 17021-1:2015 standard	1. The Riskplaza-audit+ certification scheme	Text merging.	1
1.3 Target group and structure of audit reglement	6. Documentation of the Riskplaza-audit+ certification scheme	Layout of text revised.	1
1.4 Legal framework and background Riskplaza	2. Legal framework	Textual changes.	1
1.5 Aim and benefits Riskplaza	1. The Riskplaza-audit+ certification scheme	Text merging.	1
1.6 The Riskplaza-audit+	1. The Riskplaza-audit+ certification scheme	Text merging. Only GFSI-approved food safety certification schemes are accepted by Riskpla. HACCP and ISO 22000 have lapsed.	2
1.7 Organizational structure parties involved and role NVWA <i>(Netherlands Food and Consumer Product Safety Authority)</i>	3. The Riskplaza organizational structure	Textual changes.	1
1.8 Scope / range	7. Application of the Riskplaza-audit+ certification scheme	Textual changes, exclusion of 'finished products delivered to third chanel' has lapsed.	2
	8. Scope of Riskplaza-audit+	New: possibility of certification with limited scope.	3
1.9 Concepts, abbreviations and definitions	Definitions	List has been shortened.	2
1.10 Aknowledgements	Aknowledgements	In final version.	1
<b>Chapter 2</b>	<b>Part A</b>		
2.1 Introduction		Has lapsed.	
	1.1 Scope	New: determine the certification scope .	3
2.2 Action plan participants	Flowchart	New: schematic overview of interpretation document.	2

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2.3 Purchased ingredients	1.2 Raw materials and ingredients	Textual changes.	1
2.4 Conduct a hazard analysis Identify harmful hazards	1.3 Riskplaza ingredient groups & hazards	Textual changes.	1
2.5 Inspection list ingredients and hazards	1.4 Raw Materials & hazards	Textual changes.	1
	1.5 Updates from Riskplaza food safety database	Textual changes. Processing the updates of de database within 13 weeks (was 3 months)	1
2.6 Establish relevant hazards by the application of a risk analysis	2. HACCP analysis	Textual changes. Devided into 4 paragraphs. Analysis without the influence of control measures. Substantiation of a very low risk of a hazard has been revised. The following (examples of) arguments has lapsed: <ul style="list-style-type: none"> <li>- The ingredient comes from a different region of origin than where the hazard occurs;</li> <li>- The hazard is completely eliminated in a process step of the supplier.</li> </ul> These arguments are related to control measures of hazards.  RASFF tool is no longer mentioned. Instead, (a tool for) worldwide published incidents is requested for.	2
2.7 Control relevant hazards	3. Control measures	Textual changes. Clear distincion is made between measures taken by the supplier, measures which have to be taken by the company itself, and measures which eventually have to be taken by the buyer, including guidelines for proof.	1
2.8 Completing proof of control measures	3.5 Analyses	The requirements for analysis as evidence of hazard control are more clarified.	1

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	4. Additional requirements for certification with limited scope	New: additional requirements to apply, when a company opts for a certification with a limited scope.	3
	5. Management system	New: specific chapter for requirements on the management system. Content is similar to de relevant requirements in version 4.1, chapter 3.7.	1
<b>Chapter 3</b>	<b>Part B</b>		
3.1 Introduction	1. Introduction	Textual changes.	1
3.2 Conditions for participation Riskplaza-audit+	2.1 General	Textual changes. New requirements for the description of the certification scope (on the certificate)	2
3.3 Self-assessment and registration Riskplaza		Has lapsed.	
3.4 Selection of certification body, registration Riskplaza-audit+ and contract	2.2 Certification procedure	Textual changes. Changing a CB is only possible if any NC (non-conformity) has been closed by the existing certification bodies.	2
3.5 Scheduling Riskplaza-audit+	2.5 Audit planning	Textual changes. The system must be in operation for 4-13 weeks before phase 2 of the initial audit can be carried out, to be assessed by the CB (was 3 months).	2
3.6 Scope Riskplaza-audit+	2.14 Certification head office & production site 2.15	Textual changes.	1
3.7 Audit programme	2.3 Types of audits 2.4 Audit cycle 2.6 Audit programme	Textual changes. Date of birth' of the certificate is the basis for planning. During the surveillance and recertification audit, the audit programme is based on a product trail and a random sample of the hazards, in such a way that all	2

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		hazards have been assessed at least once during the certification period. Unannounced audits (1 x per 5 years) by the CB are lapsed. This is replaced by an unexpected audit (annually at ½ v <sub>n</sub> of the sites) on behalf of Riskplaza in the context of the integrity programme.	
3.8 Duration	2.16 Duration	Textual changes. New guidelines for minimum duration of an audit. This is matched to the 3 annual certification cycle.	3
3.9 Audit on site	2.7 Audit on site	Textual changes	1
3.10 Explanation classification major and minor non-conformities	2.8 Audit findings	Textual changes. Table 3.1 has been deleted. This provides more flexibility for the CB.	1
3.11 Audit reporting	2.10 Reporting 2.17 Report	Textual changes. CB can use its own report model.	1
3.12 Procedure non-conformities and additional evidence	2.9 Eliminate non-comformities	Textual changes.	1
3.13 Decision Riskplaza-audit+ certification	2.11 Certification	Textual changes.	1
3.14 Riskplaza-audit+ certificate	2.12 Validity of the certificate 2.18 Certificate	Textual changes. New format certificate.	1
3.15 Withdrawal Riskplaza-audit+ certificate	2.13 Suspension and withdrawal of a certificate		
3.16 Requirements for processing of changes		Has lapsed. See part A: 1.5.	
3.17 Conditions for use Riskplaza logo	5. Logo	Textual changes. Only right to use the logo when certifying the full range (full scope).	1

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3.18 Reporting obligation and information exchange with Certification Body and NVWA <i>(Netherlands Food and Consumer Product Safety Authority)</i>	3. Communication in relation to certification	Textual changes.	1
3.19 Objection procedure and complaints procedure	4. Complaint, appeal, malpractice	Textual changes. Whistleblower procedure added.	2
<b>Chapter 4</b>	<b>Part C</b>		
4.1 Recognition of certification body	3. Criteria for the certification body	Textual changes. CB's are no longer obliged to report recalls to Riskplaza.	2
4.2 Responsibilities and obligations of certification bodies	6. Acceptance	Textual changes.	1
4.3 Responsibilities and obligations of Foundation Riskplaza and Riskplaza LTD to certification bodies	8. Responsibilities	Textual changes.	1
4.4 Term and termination of contract	3.8 Agreement	Textual changes.	1
4.5 Confidentiality	3.6 Confidentiality	Textual changes.	1
4.6 Qualifications auditor and coordinator Riskplaza-audit+	4. Criteria for the coordinator 5. Criteria for the auditor	Textual changes.	1
4.7 Participation in harmonisation consultations and examinations	7. Harmonisation	Textual changes. Harmonisation between CB's will take place within the coordinators' consultation. Harmonisation of auditors is carried out by the CB. Interim examinations of auditors are cancelled. Only training and examination of auditors in the case of a new version by Riskplaza.	2
4.8 Complaints and sanctions		Textual changes.	1
<b>Chapter 5</b>	<b>Part 1</b>		

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5.1 Organisation	3. The Riskplaza organisation	Textual changes. Changed consultation structure. Working group consultation has been replaced by sector consultation.	2
5.2 Management of Riskplaza database	5. Management of the Riskplaza food safety database	Textual changes.	1
5.3 Management of Riskplaza documents	4. Management of the Riskplaza-audit+ certification scheme	Textual changes.	1
<b>Chapter 6</b>	<b>Part C</b>		
6.1 Preventive quality security: the system expert	9. Integrity programme 10. System expert	Textual changes. More flexibility to respond to current events. The content of the integrity programme and the activities of the system expert are determined annually by Riskplaza.	2
6.2 Feedback about Riskplaza and the Riskplaza-audit+		Has lapsed. See part B: 3. Communication in relation to certification, and 4. Complaint, appeal, malpractice.	2
<b>Chapter 7</b>			
Intervention policies		Tables 7.1 7.2 and 7.3 have been deleted. The policy is situationally determined by Riskplaza LTD.	2
<b>Appendices</b>	<b>Appendices</b>		
Appendix 1: Manual use of Riskplaza database		Has lapsed.	
Appendix 2: Table classification non-conformities (tool)		Has lapsed.	
Appendix 3: Format Riskplaza-audit+ certificate	Appendix 3: Template certificate	Renewed to meet accreditation requirements.	1
Appendix 4: Rules for use Riskplaza logo	Appendix 5: Rules for use Riskplaza logo	Unchanged. Applies only to companies with a full certification scope.	1
Appendix 5: Schematic representation of the intervention policy		Has lapsed.	

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	Appendix 1: Riskplaza accepted food safety schemes	New appendix.	2
	Appendix 2: Contents of the report	New appendix. Requirements to the report format.	1
	Appendix 4: Complaints & appeal procedure Riskplaza-audit+	New appendix.	1

**\* 1: Type of change**

- Category 1: changes only textual/editorial;

- Category 2: technical changes in the content but not significant, since these have no consequences for the way CB's have to perform its activities/audits, for required competencies or meeting accreditation requirements

-Category 3: changes are significant, since these have consequences for the way CB's have to perform its activities/audits, for required competencies or meeting accreditation requirements